



## Privacy Policy

Restated 12-31-2022

Peregrine Assets Advisers, Inc. recognizes that protecting the privacy and security of the confidential personal information we collect about you is an important responsibility. The following information will help you understand our privacy policy and how we will maintain and protect your confidential personal information. "Personal information" refers to the nonpublic financial and personal information that we have obtained in connection with providing financial services.

We will not disclose personal information about current or former customers to non-affiliated third parties except as permitted or required by law. We do not sell any personal information about you to any third party. In the normal course of business, personal information may be shared with persons or entities involved in servicing and administering products and services on our behalf, including: your broker, financial advisor or financial planner and other service providers and affiliates assisting us.

We collect personal information to help us serve your financial needs, provide customer service, and fulfill legal and regulatory requirements. The type of information that we collect varies according to the products or services involved, and may include:

Information we receive from you on applications and related forms (such as name, address, social security number, assets and income); and,

Information about your transactions and relationships with our affiliates, or us (such as services, account balances and payment history).

### **Protecting the confidentiality and security of personal information:**

We have procedures in place that limit access to personal information to those employees and service providers who need to know such information in order to perform business services on our behalf. We educate our employees on the importance of protecting the privacy and security of confidential personal information. We also maintain physical, electronic and procedural safeguards that comply with federal and state regulations to protect your personal information. We also carry insurance that protects you and us against a breach of your information or our physical servers.

We will protect personal information from "Phishing" or suspicious attempt to gain information. As a precaution, we will gain authorization before releasing information in any format.

If you decide to close your account(s) with us, or become an inactive customer, we will adhere to the privacy policies and practices described in this policy.

We will update our policy and procedures where necessary to ensure that your privacy is maintained and that we conduct our business in a way to fulfill our commitment to you. If we make any material changes in our privacy policy, we will make that information available to you through our website at [www.peregrineaa.com](http://www.peregrineaa.com) and/or other means of communication. If you should have any questions or concerns regarding our policy, please contact me at 503-459-4651 or [dan@peregrineaa.com](mailto:dan@peregrineaa.com).